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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

9

Application Number

10/644,947

Filing Date

August 19, 2003

First Named Inventor

Ming Gao YAO et al.

Art Unit

TBA

Examiner Name

TBA

Attorney Docket Number

12553/84

### ENCLOSURES (Check all that apply)

|  |   |  |
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| <input type="checkbox"/> Fee Transmittal Form                                | <input type="checkbox"/> Drawing(s)   | <input type="checkbox"/> After Allowance communication to Group                            |
| <input type="checkbox"/> Fee Attached  | <input type="checkbox"/> Licensing-related Papers                                       | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences        |
| <input type="checkbox"/> Amendment/Reply                                     | <input type="checkbox"/> Petition   | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final   | <input type="checkbox"/> Petition to Convert to a Provisional Application               | <input type="checkbox"/> Proprietary Information   |
| <input type="checkbox"/> Affidavits/declaration(s)                           | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input type="checkbox"/> Status Letter   |
| <input type="checkbox"/> Extension of Time Request                           | <input type="checkbox"/> Terminal Disclaimer  | <input checked="" type="checkbox"/> Other Enclosure(s) (please Identify below):            |
| <input type="checkbox"/> Express Abandonment Request                         | <input type="checkbox"/> Request for Refund   | 1. PTO-1449 and 5 references   |
| <input checked="" type="checkbox"/> Information Disclosure Statement         | <input type="checkbox"/> CD, Number of CD(s) _____                                      | 2. Copy of Search Report   |
| <input type="checkbox"/> Certified Copy of Priority Document(s)              | Remarks   | 3. Postcard  |
| <input type="checkbox"/> Response to Missing Parts/ Incomplete Application   |   |  |
| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 |   |  |

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

|                         |                                      |
|-------------------------|--------------------------------------|
| Firm or Individual name | Stephen T. Neal<br>(Reg. No. 47,815) |
| Signature               | <i>Stephen T. Neal</i>               |
| Date                    | October 2, 2003                      |

### CERTIFICATE OF TRANSMISSION/MAILING

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| Typed or printed name | Barbara Vance        |      |                 |
| Signature             | <i>Barbara Vance</i> | Date | October 2, 2003 |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PATENT

Docket No. 12553/84



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANTS : Ming Gao YAO et al.  
SERIAL NO. : 10/644,947  
FILED : August 19, 2003  
FOR : AN EXCHANGE METHOD AND MECHANISM FOR A COMPONENT  
OF THE MAGNETIC HEAD AND THE SUSPENSION OR THE HEAD  
GIMBAL ASSEMBLY OF THE HARD DISK DRIVER DURING  
MANUFACTURE  
GROUP ART UNIT : TBA  
EXAMINER : TBA

M/S: DD  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

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| Date: <u>October 2, 2003</u>   | Signature <u>Barbara Vance</u> |
| Barbara Vance  |                                |

**INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

Pursuant to 37 CFR §1.56, the attention of the Patent and Trademark Office is hereby directed to the five (5) reference(s) listed on the attached PTO-1449 (modified) form. Unless otherwise indicated herein, one copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the reference(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

The information disclosure statement, along with the International Search Report, is being

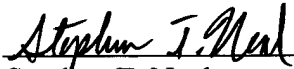
submitted under 37 C.F.R. §1.97(c)(1). I hereby certify that each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement.

If any fee is required, please charge Kenyon & Kenyon, Deposit Account No. **11-0600**.

Respectfully submitted,

KENYON & KENYON

Dated: October 2, 2003

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|---|---|---------------------------------|
| <b>Form PTO-1449 (modified)</b><br><br><b>LIST OF PATENTS AND PUBLICATIONS<br/>FOR APPLICANT(S)' INFORMATION<br/>DISCLOSURE STATEMENT</b> | <b>Attorney Docket No.</b><br>12553/84  | <b>Serial No.</b><br>10/644,947 |
|   | <b>Applicant</b><br>Ming Gae YAO et al. |                                 |
|   | <b>Filing Date</b><br>August 19, 2003   | <b>Group Art Unit</b><br>TBA    |



**U. S. PATENT DOCUMENTS**

| EXAMINER<br>INITIAL | PATENT<br>NUMBER | PATENT<br>DATE | NAME | CLASS | SUBCLASS | FILING<br>DATE* |
|---------------------|------------------|----------------|------|-------|----------|-----------------|
|                     |                  |                |      |       |          |                 |
|                     |                  |                |      |       |          |                 |
|                     |                  |                |      |       |          |                 |

\* - If pertinent

**FOREIGN PATENT DOCUMENTS**

| EXAMINER<br>INITIAL | DOCUMENT<br>NUMBER | DATE         | COUNTRY | CLASS  | SUBCLASS |
|---------------------|--------------------|--------------|---------|--------|----------|
|                     | JP8335621A2        | 17 Dec. 1996 | Japan   | HO1L21 | 21/68    |
|                     | JP9002565A2        | 7 Jan. 1997  | Japan   | B65D   | 85/86    |
|                     | JP2000062889A2     | 29 Feb. 2000 | Japan   | B65D   | 85/86    |
|                     | JP2001044269A2     | 16 Feb. 2001 | Japan   | HO1L   | 21/68    |
|                     | CN1354458A         | 19 June 2002 | China   | G11B   | 5/48     |

**OTHER DOCUMENTS**

| EXAMINER<br>INITIAL | AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC. |
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| EXAMINER  | DATE CONSIDERED |
| EXAMINER: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. |                 |